## PATENT APPLICATION

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: Q80192

Yutaka ADEGAWA

Appln. No.: Unknown

Confirmation No.: Unknown

Group Art Unit: Unknown

Filed: March 04, 2004

Examiner: Unknown

For:

POSITIVE RESIST COMPOSITION

## INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §§ 1.97 and 1.98

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents, other than any U.S. patents and patent publications, is submitted herewith.

The present Information Disclosure Statement is being filed: (1) No later than three months from the application's filing date; (2) Before the mailing date of the first Office Action on the merits (whichever is later); or (3) Before the mailing date of the first Office Action after filing a request for continued examination (RCE) under §1.114, and therefore, no Statement under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for foreign language documents, Applicant submits the following explanations:

INFORMATION DISCLOSURE STATEMENT

Attorney Docket No.: Q80192

Japanese Patent No. JP-B2-7-99435 is cited and discussed on page 3 of the

specification.

Japanese Application Nos. JP-A-2001-305737 and JP-A-2002-256033 are cited

and discussed on page 3 of the specification.

The submission of the listed documents is not intended as an admission that any such

document constitutes prior art against the claims of the present application. Applicant does

not waive any right to take any action that would be appropriate to antedate or otherwise

remove any listed document as a competent reference against the claims of the present

application.

The USPTO is directed and authorized to charge all required fees, except for the Issue

Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any

overpayments to said Deposit Account. A duplicate copy of this paper is attached.

Respectfully submitted,

Registration No. 32,197

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WASHINGTON OFFICE

23373

CUSTOMER NUMBER

Date: March 4, 2004

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Substitute for Form 1449 A & B/PTO			Complete if Known			
Substitute for Form	1449 A & B/F I U		Application Number Unknown Confirmation Number Unknown Filing Date March 04, 2004			
INF	ORMATION	DISCLOSURE		Unknown		
STATEMENT BY APPLICANT		Filing Date	March 04, 2004			
			First Named Inventor	Yutaka ADEGAWA		
(use as many sheets as necessary)			Art Unit	Unknown		
			Examiner Name	Unknown		
Sheet	1	of 1	Attorney Docket Number	O80192		

U.S. PATENT DOCUMENTS					
	Cite No.1	Document Number			
		Number	Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		US 5,856,071	Α	01-05-1999	Kotachi et al.
		US			
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Examiner Cite Initials* No.1	Cite	Foreign Patent Document			Publication Date	Name of Patentee or	
		Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)	MM-DD-YYYY	Applicant of Cited Document	Translation <sup>6</sup>
		JР	7-99435	B2	10-25-1995		
		wo	02/073308	A1	09-19-2002	UNIVERSITY OF NORTH CAROLINA AT CHARLOTTE AND UNIVERSITY OF CONNECTICUT	
		JP	2001-305737	A	11-2-2001		
		JP	2002-256033	A	09-11-2002		
		1		1			

		NON PATENT LITERATURE DOCUMENTS			
Examiner Cite Initials* No.1		Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.			
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Examiner Signature	Data Canaidanad	
Examiner Signature	Date Considered	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kind Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or follow the hyperlink from the title of the document to the intranet. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to indicate here if English language Translation is attached.